



RECEIVED  
CENTRAL FAX CENTER

JUN 15 2005

Fax

☐ Urgent

☐ Return reply requested

☐ Original will be sent as confirmation

To: United States Patent and Trademark  
Office

Date: June 15, 2005

Attention: Office of Initial Patent Examination's  
Filing Receipt Corrections

Regarding Application of: SETTE *et al.*

Application No.: 09/412,863

Filed: October 5, 1999

From: Eric K. Steffe, Registration No. 36,688

For: **Inducing Cellular Immune Responses to  
Human Immunodeficiency Virus-1 Using  
Peptide and Nucleic Acid Compositions**

Pages (including cover sheet): 5

Confirmation No.: 1200

Fax No: 703-746-9195

Our Reference: 2060.0040005/EKS/VSR

## Message

Please correct the priority on the Filing Receipt as noted on the enclosed marked-up copy. A copy of a portion of the Amendment filed January 28, 2005 supporting this change is also enclosed along with a copy of the postcard verifying the filing of the Amendment.

Please be aware the attorney docket number and inventor name spelling has been updated through PAIR on this day as well. A corrected Official Filing Receipt indicating these changes, as well as the new attorney of record, Eric K. Steffe (Registration No. 36,688), is respectfully requested.

### Certification of Facsimile Transmission

I hereby certify that this paper is being facsimile transmitted  
to the Patent and Trademark Office on the date shown below.

Date:

Eric K. Steffe  
Name  
15 June 2005

Best Available Copy

If any portion of this transmission is not received clearly or in full,  
contact us at 202.371.2600 or f 202.371.2540

This message is intended for the exclusive use of the individual or entity to which it is addressed. The message may contain information that is privileged, confidential, or otherwise exempt from disclosure under applicable law. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, copying or use of this communication in any way is strictly prohibited. If you have received this communication in error, please call us collect immediately, and return the original message to us at the above address via the U.S. Postal Service.

Sterne, Kessler, Goldstein & Fox P.L.L.C. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : [www.skgf.com](http://www.skgf.com)

PTO-103X  
(Rev. 6-99)FILING RECEIPT  
CORRECTED

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/412,863	10/05/99	1646	\$928.00	<del>18623-014100</del>	2	39	11

JEAN M LOCKYER  
TOWNSEND AND TOWNSEND AND CREW LLP  
TWO EMBARCADERO CENTER  
8TH FLOOR  
SAN FRANCISCO CA 94111-3834

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) ALESSANDRO SETTE, LA JOLLA, CA; JOHN SIDNEY, SAN DIEGO, CA; SCOTT SOUTHWOOD, SANTEE, CA; BRIAN D. LIVINGSTON, SAN DIEGO, CA; ROBERT CHESTNUT, CARDIFF-BY-THE-SEA, CA; DENISE BAKER, SAN DIEGO, CA; ESTEBAN CELIS, ROCHESTER, MN; RALPH T. KUBO, CARLSBAD, CA; HOWARD M. GREY, LA JOLLA, CA.

## CONTINUING DATA AS CLAIMED BY APPLICANT-

~~THIS APPLN IS A CIP OF 09/189,702 11/10/98~~  
~~WHICH IS A CIP OF 08/205,713 03/04/94~~  
~~WHICH IS A CIP OF 08/159,184 11/29/93 ABN~~  
~~WHICH IS A CIP OF 08/073,205 06/04/93 ABN~~  
~~WHICH IS A CIP OF 08/027,146 03/05/93 ABN~~

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 11/09/99 \*\* SMALL ENTITY \*\*  
 TITLE  
 INDUCING CELLULAR IMMUNE RESPONSES TO HUMAN IMMUNODEFICIENCY VIRUS-1  
 USING PEPTIDE AND NUCLEIC ACID COMPOSITIONS

PRELIMINARY CLASS: 514

This application is a continuation-in-part of U.S. Appl. No. 08/347,610, filed December 1, 1994, abandoned; and a continuation-in-part of U.S. Appl. No. 08/205,713, filed March 4, 1994, abandoned; said 08/347,610 is a continuation-in-part of U.S. Appl. No. 08/159,339, filed November 29, 1993, now U.S. Patent No. 6,037,135; which is a continuation-in-part of U.S. Appl. No. 08/103,396, filed August 6, 1993, abandoned; said 08/205,713 is a continuation-in-part of U.S. Appl. No. 08/159,184, filed November 29, 1993, abandoned; which is a continuation-in-part of U.S. Appl. No. 08/073,205, filed June 4, 1993, abandoned; which is a continuation-in-part of U.S. Appl. No. 08/027,146, filed March 5, 1993, abandoned.

DATA ENTRY BY: KING, DORIS

TEAM: 04 DATE: 02/03/00

\*\*\*\*\*

Best Available Copy

## FILE COPY

Applicants: SETTE *et al.*

Application No.: 09/412,863

Filed: October 5, 1999

For: Inducing Cellular Immune Responses to  
Human Immunodeficiency Virus-1 Using  
Peptide and Nucleic Acid Compositions

Due Date: January 28, 2005

Art Unit: 1644

Confirmation No.: 1200

Examiner: Schwadron, Ronald B

Docket: 2060.0040005/HCC/VS

Atty: HCC/VS

Applicants: SETTE *et al.*

Application No.: 09/412,863

Filed: October 5, 1999

For: Inducing Cellular Immune Responses to  
Human Immunodeficiency Virus-1 Using  
Peptide and Nucleic Acid Compositions

Due Date: January 28, 2005

Art Unit: 1644

Confirmation No.: 1200

Examiner: Schwadron, Ronald B

Docket: 2060.0040005/HCC/VS

Atty: HCC/VS

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents:

1. SKGF Cover Letter;
2. Petition for Extension of Time Under 37 C.F.R. 1.136(a);
3. Amendment and Reply Under 37 C.F.R. § 1.111;
4. Replacement Drawings (Figures 1 and 2);
5. Form PTO-2038 Credit Card Payment form in the amount of \$1020.00 to cover extension of time filing fee; and
6. Return postcard.

359135\_1.DOC

Please Date Stamp and Return to Our Courier

Mail Stop: Amendment



Best Available Copy